U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1449

Sheet	1	_of_	1 0	9195
-------	---	------	-----	------

LIST	OF PRIOR ART CITED BY APPLICANT	
ATTY. DOCKET:	SERIAL NO.:	1101
17293DIV(HL)	Not assigned	
APPLICANT:	TITLE: METHODS AND COMPOSITIONS	FOR THE
Massaro et al	TREATMENT AND PREVENTION OF LUN	G DISEASES
FILING DATE:	GROUP:	
Submitted herewith	Not Assigned	

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (if applicable)
AA	5,776,699	7/7/1998	Klein et al			
AB	5,739,338	4/14/1998	Beard et al			
AC	5,728,846	3/17/1998	Vuligonda et al			
AD	5,760,276	6/2/1998	Beard et al			
AE	5,877,207	3/2/1999	Klein et al			
	AB AC AD	AA 5,776,699 AB 5,739,338 AC 5,728,846 AD 5,760,276	AA 5,776,699 7/7/1998 AB 5,739,338 4/14/1998 AC 5,728,846 3/17/1998 AD 5,760,276 6/2/1998	AA 5,776,699 7/7/1998 Klein et al AB 5,739,338 4/14/1998 Beard et al AC 5,728,846 3/17/1998 Vuligonda et al AD 5,760,276 6/2/1998 Beard et al	AA 5,776,699 7/7/1998 Klein et al  AB 5,739,338 4/14/1998 Beard et al  AC 5,728,846 3/17/1998 Vuligonda et al  AD 5,760,276 6/2/1998 Beard et al	AA 5,776,699 7/7/1998 Klein et al  AB 5,739,338 4/14/1998 Beard et al  AC 5,728,846 3/17/1998 Vuligonda et al  AD 5,760,276 6/2/1998 Beard et al

## OTHER PRIOR ART

(Including Author, Title, Date, Pertinent Pages, etc.) Massaro et al, "Retinoic acid treatment abrogates elastase-induced pulmonary AΗ emphysema in rats", Nature Medicine, Vol. 3, No. 6,675-677 (1997). Massaro et al, "Postnatal treatment with retinoic acid increases the number of pulmonary ΑI alveoli in rats", Am. J. Physiol., 270, L305-L310 (1996). Nagpal et al, "Retinoids as Anti-Cancer Agents", Current Pharm. Design Jun;2(3): 295-AJ 316 (1996). Mangelsdorf et al, "The Retinoid Receptors, Biology", Chemistry and Medicine Ch. 8 AW (2d ed. Sporn et al eds. 1994), 319-349 . Burri et al, "The Postnatal Growth of the Rat Lung I. Morphometry", Anat. Rec.178: AK 711-730 (1973). Burri et al, "The Postnatal Growth of the Rat Lung III. Morphology", Anat. Rec., 180: AL77-98 (1974) Massaro et al, "Formation of Pulmonary Alveoli and Gas-Exchange Surface Area: AM Quantitation and Regulation", Annu. Rev. Physiol, 58, 73-92, 1996, Shapiro, "The Pathogenesis of Emphysema: the Elastase: Antielastase Hypothesis 30 AN Years Later", Proceedings of the Association of American Physicians, 107:3, 1995, 346-352 Reczek et al, "Role of Retinoic Acid Receptor Gamma in the Rhino Mouse and Rabbit ÃΟ Irritation Models of Retinoid Activity", Skin Pharmacol 1995; 8: 292-299 Sun, CA 128: 43515, abstract, 1997. AP Klein, CA 126: 277406, abstract, 1997. AQ AR Johnson, CA 126: 99161, abstract, 1996. Vuligonda, CA 125: 195216, abstract, 1996. AS DATE CONSIDERED 23 April 2002

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.